

Application Serial No. 10/595,663 Submission dated November 20, 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/595,663

Confirmation No. 4314

Applicant

Achim Menne

Filed

Title

STARTING UNIT

TC/A.U. Examiner

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Atty. Docket No.

VOI0256

Customer No.

0832

### SUBMISSION OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith is an English translation of the International Preliminary

Examination Report.

Respectfully submitted,

John E. Hoffman

Registration No. 26,280 Attorney for Applicants

JFH/nw

**BAKER & DANIELS** 

111 East Wayne Street, Suite 800

Fort Wayne, IN 46802 Telephone: 260-424-8000

Facsimile: 260-460-1700

Enc.

**IPER Translation** 

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CERTIFICATION OF FACSIMILE TRANSMISSION

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November 20, 2006

Date

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From the INTERNATIONAL BUREAU

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Date of mailing (day/month/year)   26 October 2006 (26.10.2006)   RL:			
1. Transmittal of the translation to the applicant.  The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter I).  The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter II).  Transmittal of the copy of the translation to the designated or elected Offices.  The International Bureau notifies the applicant that copies of that translation have been transmitted to the following designated or elected Offices requiring such translation:  KR  The following designated or elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:  AE, AG, AL, AM, AP, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EA, EC, EE, EG, EP, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OA, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW  3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).  The applicant is reminded that, where a translation of the international preliminary report on patentability (Chapter II).  It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned within the applicable time limit (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.			

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Yolaine Cussac

Authorized officer

# TRANSLATION PATENT COOPERATION TREATY PCT

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

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Applicant's or agent's file reference PG 06170WO			FOR FURTHER A	ACTION	See Form PCT/IPEA/416
International application No		International filing da	ate (day/month/year)	Priority date (day/month/year)	
PCT/	EP2004/	012698	10.11.200	4	14.11.2003
Internation	nal Patent Class	sification (IPC) or nati	onal classification and	IPC'	
F16D	F16D33/04, F16D33/06, F16D33/16				
Applicant VOIT	H TURBO	GMBH & CO	O. KG		
			ninary examination re e applicant according t		International Preliminary Examining Authority
2.	This REPORT	consists of a total of _	7	sheets, includin	g this cover sheet.
3.	This report is a	so accompanied by Al	NNEXES, comprising:		
	a 🛛 (see	at to the applicant and	to the International Bi	ureau) a total of 5	sheets, as follows:
					amended and are the basis for this report and/or
		sheets containing red Instructions)	ctifications authorized	by this Authority (see Ru	tle 70.16 and Section 607 of the Administrative
					siders contain an amendment that goes beyond in item 4 of Box No. I and the Supplemental
	b. tsei		Bureau aubit a tatal of	Charles and a second and a second and	and all advantages and advan
	D (Se)	u to the International I	oureau oniy) a total of	(indicate type and numbe	r of electronic carrier(s))
!	containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see				
Section 802 of the Administrative Instructions).					
4.	This report con	tains indications relati	ng to the following iter	ns:	
	Box No	Basis of the	report		
	Box No	. Il Priority			
	Box No	. III Non-establi:	shment of opinion with	regard to novelty, invent	ive step and industrial applicability
	Box No		y of invention		
	Box No	<b>.</b>		35(2) with regard to nove	Ity, inventive step or industrial applicability:
			i explanations supporti		
	Box No	. VI Certain doe	uments cited		
	Box No	. VII Certain defe	ects in the international	application	
	Box No	. VIII Certain obse	ervations on the interna	ational application	
Date of submission of the demand D				Date of completion of th	is report
Name and	Name and mailing address of the IPEA/EP		Authorized officer		
Facsimile No.				Telephone No.	

International application No
PCT/EP2004/012698

Box	No. 1		Basis of the report		
l		_	to the language, this report is based on the internation der this item.	nal application in the language in	which it was filed, unless otherwise
			eport is based on translations from the original langua ris the language of a translation furnished for the purpo		
			international search (Rule 12.3 and 23.1(b))		
	publication of the international application (Rule 12.4)				
		Ш	international preliminary examination (Rule 55.2 and/	or 55.3)	
2	recei	iving Q report):	to the elements of the international application, this ffice in response to an invitation under Article 14 are ternational application as originally filed/furnished		
	$\boxtimes$	the de	scription:		
		pages	1-22		as originally filed/furnished
		pages	de	received by this Authority on	
		pages	·	received by this Authority on	
	$\boxtimes$	the cla	aims:		
		nos			as originally filed/furnished
		nos #		as amended (togethe	r with any statement) under Article 19
		nos.≉	1-18	received by this Authority on	18.08.2005 with letter of 16.08.2005
		nos.*			
	$\boxtimes$	the dr	awings:		
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	$\Box$		nence listing and/or any related table(s) – see Suppleme		
		-		man box relating to sequence E	isung.
.5.	ш		mendments have resulted in the cancellation of:		
			the description, pages		
			the claims, nos.		N. Parker A.S.
			the drawings, sheets/figs		
			the sequence listing (specify):		
			any table(s) related to sequence listing (specify):		
4.	Ш		report has been established as if (some of) the amend have been considered to go beyond the disclosure as fil		
		님	the description, pages		
			the claims, nos.		
		Щ	the drawings, sheets/figs		
			the sequence listing (specify):		
			any table(s) related to sequence listing (specify):		
*	If ite	m 4 ap	plies, some or all of those sheets may be marked "supe	rseded."	

International application No.
PCT/EP2004/012698

Box		ment under Article 35(2) with regard to novelty, inventive step or industrial applicability;	
1.	Statement		
	Novelty (N)	Claims 1-18 Claims	_ YES
Inventive step (IS)		Claims 1-18	
	Industrial applicability (1A	Claims 1-18	
2.	Citations and explanations (Ru	ule 70.7)	
		is report makes reference to the following cument:	
		: US-A-2 731 119 (BURDETT HARRY W ET AL) 17	•
	the	is regarded as the prior art closest to e subject matter of claim 1. It discloses he reference signs in parentheses refer to ):	
	- hav dr: to	hydrodynamic coupling ving an input that can be coupled to a ive (28) and an output that can be coupled a drive output (10); ving a hydrodynamic component comprising at	
	lea sec an	ast one primary impeller (24) and a condary impeller (18) which, together, form operating chamber that can be filled with erating material;	
	COI	ving a switchable coupling (50,52) mprising at least two coupling elements at can be operatively linked in a	

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement frictionally engaged manner directly or indirectly via intermediate elements, the two coupling elements being one first (50) coupling output element that is at least indirectly connected in a rotationally fixed manner to the output and an adjusting device (18) associated therewith; having a stationary or rotating housing (16) that surrounds at least one of the impellers while forming an adjoining chamber (26); the adjusting device (18) of the switchable coupling (50,52) being arranged in the adjoining chamber (26) and forming a first operating material supply channel (42) or chamber, and being actable upon by the pressure prevailing therein; the operating material supply channel (42) or chamber being connectable, at least indirectly, to an operating material supply source (not shown); having means (18) for influencing the transmission behavior of the hydrodynamic component (displacement of the secondary impeller) comprising at least one built-in mechanical part (18) that acts, at least indirectly, on the operating circuit that adjusts itself in the operating chamber; having an adjusting device (piston 18) associated with the built-in mechanical part and means for acting upon said device with a differential pressure that results from the pressure in the first operating material

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

guide channel or chamber or in a channel or chamber coupled thereto or in the housing interior (26), and from a control pressure (23).

2.1. The subject matter of claim 1 differs from the known hydrodynamic coupling in that

the means comprises a built-in mechanical part that is arranged on an impeller in the form of separate elements that can be introduced into the operating chamber or of elements that form portions of the impeller walls, and said mechanical part acts, at least indirectly, on the operating circuit that adjusts itself in the operating chamber.

Therefore, the subject matter of claim 1 is novel (PCT Article 33(2)).

- 3. Therefore, the problem to be solved by the present application can be regarded as that of influencing, in certain areas, the moment that can be absorbed by the hydrodynamic coupling, namely in areas of high slippage.
- 3.1. The solution to this problem as proposed in claim 1 of the present application involves an inventive step for the following reasons (PCT Article 33(3)):

The additional features of claim 1 are not

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Box No. V	Reasone citations	d statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; and explanations supporting such statement
		rendered obvious by the known prior art.
	4.	Claims 2-18 are dependent on claim 1 and
		therefore likewise meet the PCT requirements
		for novelty and inventive step.
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### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

- 5. Reference sign "A" for the output (claim 1 was not found in the drawings.
- 6. Reference sign "46" for the adjoining chamber (claim 1) was denoted as "47" in the description (page 13, line 29) and in figures 1-4.
- 7. Claim 14 and the description (page 19, line 29 to page 20, line 2) appear to contradict each other. It is not clear whether or not the built-in mechanical parts are connected to the secondary impeller.

Form PCT/IPEA/409 (Box VIII) (January 2004)